

Sample Proforma for Submission of AEO Application

Revision	1
Revision Date	14.03.2014

Annexure-E (E) Conveyance Securities

3.3	Conveyance Security	Yes	No	Guidance with examples on what is needed
3.3.1	Whether all conveyances used for the transportation of cargo within the supply chain are capable of being effectively secured?			<p>Around X% of cargo is exported through rail (ICD XYZ) and around Y% of the cargo is exported through road (till port).</p> <p>The ports which are used for export other than ICD are as follows:</p> <ol style="list-style-type: none"> 1. ABC 2. DEF 3. ... 4. XYZ <p>There are 'X' transporters, who takes care of transportation of cargo to and fro these above mentioned ports. Details of these transporters are mentioned in the Business Partner Security Section (Annexure E-H)</p> <p align="center"><u>Annexure-3.3.1A</u></p> <p>There is SOP 'XYZ' for selection of Business Partners. Further, we undergo contract with transporters and the contract ensures safety and security of the cargo en-route.</p> <p align="center"><u>Annexure-3.3.1B</u></p> <p>Furthermore, there is a SOP 'XYZ' for monitoring the movement of containers. Further, there are authorized persons of the transports who takes care day to day working of movement of road container.</p> <p>Further, we have a system in which once the container is received by the transporter at Port, details of the container is received and it is matched with original details. Once the details are confirmed, container is allowed to de-stuff. All these details are maintained for further references.</p>
3.3.2	Whether all operators of conveyances used for transport of cargo are trained to maintain the security of the conveyance and the cargo at all times while in its			<p align="center"><u>Annexure-3.3.2</u></p> <p>There is a training system to provide specific training to all the employees. Some copies of these records are as under:</p> <div style="border: 1px solid black; width: 150px; height: 100px; margin: 20px auto; text-align: center; padding: 10px;"> <p>MAINTAINED TRAINING REGISTER</p> </div>

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	custody?			
3.3.3	Whether there is a system for operators to report actual or suspicious incident to designated security department staff of both the AEO Programme Team and Customs as well as to maintain records of these reports which should be made available to Customs?			<p align="center"><u>Annexure-3.3.3</u></p> <p>There is a system to report actual or suspicious incidents to us as well as to the transporter and to Customs. Further, records of these reports are maintained. Some copies of these records are as under:</p> <div data-bbox="860 336 1182 727" style="border: 1px solid black; background-color: #e0e0e0; padding: 10px; text-align: center; margin: 10px auto; width: fit-content;"> <p>RECORDS MAINTAINED REGISTER</p> </div>
3.3.4	Whether potential places of concealment of illegal goods on conveyances are regularly inspected, all internal and external compartments and panels, as appropriate and secured?			<p align="center"><u>Annexure-3.3.4</u></p> <p>There is a system 'XYZ' to check potential places of concealment of illegal goods on conveyances and these are inspected regularly. Further, records of these are maintained. Some copies of these records are as under:</p> <div data-bbox="844 858 1193 1139" style="border: 1px solid black; background-color: #e0e0e0; padding: 10px; text-align: center; margin: 10px auto; width: fit-content;"> <p>REGISTER OF CONTAINER INSPECTION AND CONVEYANCE TRACKING</p> </div>
3.3.5	Whether predetermined routes are identified by the dispatcher, and procedures of random route checks along with			<p>There are predetermined routes for each port of export and import. Further, there is a system in which driver has to contact Transporter for locating the place of vehicle. These details are get recorded and intimated to us.</p> <p align="center"><u>Annexure-3.3.5</u></p> <ul style="list-style-type: none"> ➤ SOP 'XYZ' for monitoring of the movement of road export/ import container. ➤ Road Movement Process Flow.

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	documenting and verifying the length of time between the loading point/trailer pickup and the delivery destinations is in place?			
3.3.6	Whether system of maintaining conveyance and trailer integrity is in place by utilizing a tracking and monitoring activity log or records?			There is a system in which driver has to contact Transporter for locating the place of vehicle. These details are got recorded and intimated to us.
3.3.7	Is periodic risk analysis done based on past history of cases of security breach or new threat inputs and SOP annually reviewed?			<p align="center"><u>Annexure-3.3.7</u></p> <p>As per SOP 'XYZ', there is a 'ABC Committee' which has been appointed to oversee security aspects. The responsibilities of the committee are as follows:</p> <ul style="list-style-type: none"> ➤ ABC ➤ DEF ➤ ... ➤ XYZ. <p>This committee meets monthly/ quarterly/ biannually/ early for above assigned tasks.</p>